



Omega-3 fatty acids on the forced-swimming test

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Abstract

Objectives: Based on the findings of epidemiological data and recent clinical trials, omega-3 fatty acids seem to have a preventive and therapeutic effect on depression.

Method: We examined the effect of omega-3 fatty acids on the forced-swimming test (FST) in two groups of Sprague-Dawley rats after a six-week treatment with two different diets. Behavioral responses were observed and recorded during the 5-min test. The fatty acid composition from the whole brain tissue and the RBC membrane of the rats were analyzed.

Results: Comparing to control diet, omega-3 fatty acid diet significantly decreased the immobility time (218 ± 16 vs. 183 ± 19 s, $p = 0.001$) and increased behaviors of swimming (32 ± 7 vs. 45 ± 9 s, $p = 0.012$) and climbing (50 ± 10 vs. 73 ± 14 s, $p = 0.011$) during the FST. The group in omega-3 fatty acid diet had higher levels of docosahexaenoic acid (DHA, 50% increase) and alpha-linolenic acid (ALA, 63% increase) in the brain, and of eicosapentaenoic acid (EPA, 27% increase) in the peripheral RBC membrane. The level of brain DHA is negatively correlated to the immobility time ($r = -0.654$, $p = 0.006$) and is positively correlated to the swimming time ($r = 0.69$, $p = 0.003$).

Conclusion: The result shows that omega-3 fatty acids have a beneficial effect on preventing the development of depression-like behaviors in rats with the FST.

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1. Introduction

Based upon the evidence from epidemiological data, biological studies in patients, and recent clinical trials, omega-3 polyunsaturated fatty acids (PUFAs) seem to be involved in the mechanisms underlying the pathogenesis and treatment of depression (Horrobin and Bennett,

1999; Su et al., 2003b). The PUFAs are classified into omega-3 (or $n - 3$) and omega-6 (or $n - 6$) groups. The parent essential fatty acid of omega-3 PUFAs is α -linolenic acid (ALA; C18:3 $n - 3$), and that of omega-6 group is linoleic acid (LA; C18:2 $n - 6$). The cerebral cell membrane contains high concentrations of PUFAs, some of which cannot be synthesized and therefore must be obtained from the diet. The abnormalities in PUFA composition in cell membranes can alter membrane microstructure, which could result in abnormal signal transduction and immunological dysregulation, and possibly can increase the risk of developing depression (Horrobin and Bennett, 1999; Su et al., 2003a; Chiu et al., 2003). In fact, societies in which

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a large amount of omega-3 PUFAs is consumed appear to have a lower prevalence of major depressive disorder (Tanskanen et al., 2001b; Tanskanen et al., 2001a; Hibbeln, 1998). Consistent with this, red blood cell (RBC) membranes of patients with major depressive disorder have lower levels of omega-3 PUFAs, including eicosapentaenoic acid (EPA) and docosahexaenoic acid (DHA) (Maes et al., 1996, 1999; Adams et al., 1996; Peet et al., 1998; Edwards et al., 1998). Finally, several clinical trials have been reported to show an antidepressant effect of PUFAs (Puri et al., 2001; Su et al., 2003a; Nemets et al., 2002; Peet and Horrobin, 2002). Moreover, in a preliminary trial, Stoll et al. (1999) concluded that omega-3 PUFAs improved the 4-month outcome of illness in patients with bipolar disorder. Indeed, we found that omega-3 PUFAs seem to prevent depression but not mania among patients with bipolar disorder (Su et al., 2000).

The forced-swimming test (FST), introduced by Porsolt et al. (1977) has been widely used to predict the clinical efficacy of antidepressant drugs. The rat FST is usually conducted with two sessions: a 15-min pretest session on day 1 and a 5-min test session on day 2. The “behavioral despair” is defined as an animal’s reaction to the inability to escape from a stressful environment. The pretest forced swimming stress decreased the latency to the induction of behavioral immobility from the second test exposure. The value and limitations of this animal model have been largely discussed (Borsini and Meli, 1988; Willner, 1990; Thiebot et al., 1992). Lucki and his collaborators (Lucki et al., 1994; Detke and Lucki, 1996; Detke et al., 1995) have modified FST by scoring not only the passive behavior of the immobility time, but also the active behavior of swimming and climbing. A major feature of the FST is that administration of antidepressant agents before the first session, or between the first and second session, effectively decreases the immobility time and increase active behaviors, which is consistent with a therapeutic effect of increasing escape-directed activities (Page et al., 2003). The effects of antidepressants has made the FST a valid animal model for depression (Detke et al., 1995; Lucki, 1997).

Recently, Carlezon and his colleagues reported that dietary supplementation with omega-3 fatty acids reduced immobility in the FST when given for 30 days, but not for 3 or 10 days (Carlezon, Jr. et al., 2005). Unfortunately, the lipid profiles of brain and RBC were not reported in their study. In the present study, we examined the effect of omega-3 fatty acids on the FST and on fatty acid compositions of brain tissue and erythrocyte membrane after six-week treatment with two different diets.

2. Materials and methods

2.1. Animals and diets

Sixteen Sprague-Dawley rats (National Science Council in Taipei, Taiwan), weighing between 250 and 300 g at the start of the experiment, were used. They were housed in a

temperature (22–24 °C) and a humidity-controlled (60%) room, on a 12-h light–dark (light on: 08:00–20:00 h) schedule. Food and water were available ad libitum. These conditions were maintained constant throughout the experiments.

Six weeks before the forced-swimming test, the rats were assigned to two groups on different diets. The components of these two diets were based on the AIN76 (American Institute of Nutrition-76) (American Institute of Nutrition, 1977) semi-purified diet with a modifying composition of fatty acid for this study (Table 1).

2.2. Forced-swimming test

The detailed procedures of forced-swimming test were described elsewhere (Porsolt et al., 1978; Detke and Lucki, 1996; Detke et al., 1995). Briefly, the rats were placed in vertical Plexiglass cylinders (40 cm high and 20 cm in diameter), containing water (25 °C) 30 cm-deep. They were placed into the water for a 15-min period (pretest session). At the end of this pretest phase, each rat was removed from the water, partially dried with a towel, and placed in a plastic cage illuminated with a heat lamp. Twenty-four hours later, the rats were exposed to the same experimental conditions outlined above for 5 min (test session). The immobility, swimming and climbing time were recorded and then rated by two trained raters, who were blind to the dietary treatment.

2.3. Brain and blood lipids

At the end of forced-swimming test, the rats were decapitated, the brains and RBC tissues were removed and weighted, and then frozen at –80 °C for subsequent biochemical analysis. The lipid of 2 g of brain tissues and 300 µl of RBC were homogenized and extracted with distilled water and chloroform/methanol (2:1, v/v) which contained 0.02% BHT (w/v) by using a method modified from Bligh and Dyer (1959). After a centrifuged procedure at 1500g for 10 min, the substratum were transferred to the

Table 1
The comparison of fatty acids in two diets: control diet vs. experiment diet*

	Control diet (%)	Experiment diet (%)
SFAs	6.04	4.87
MUFAs	76.82	38.49
Total <i>n</i> – 7	0.09	0.12
Total <i>n</i> – 9	76.73	38.38
PUFAs	10.75	44.16
Total <i>n</i> – 3	0.39	36.80
C18:3 (ALA)	0.39	1.83
C20:5 (EPA)	0	12.36
C22:6 (DHA)	0	22.62
Total <i>n</i> – 6	10.36	7.35
C18:2 (LA)	10.13	5.93
C20:4 (AA)	0	0.96

* SFAs, saturated fatty acids; MUFAs, monounsaturated fatty acids; PUFA, polyunsaturated fatty acids.

test tube for vacuum drying. Dried crude lipids were weighted before proceeding to the phospholipids separation. The crude lipids were then dissolved in 200 μ L of chloroform and applied onto a solid phase extraction column (Amino disposable extraction column, Bakerbond speTM, LOT x 02550) for phospholipids separation (Edwards et al., 1998; Jumpson et al., 1997).

Each sample of the brain and the RBC was analyzed for the individual fatty acid by gas chromatography (Lipid Standards, FAMES, Sigma Co., St. Louis, MO, USA). The detailed step-by-step procedures were applied according to the laboratory practice manual, as briefly described below (Maes et al., 1999; Edwards et al., 1998; Lepage et al., 1986). Extracted phospholipids were placed into 16 \times 150 mm test tubes with Teflon-lined screw caps, and dissolved with 1 mL of 14% boron trifluoride methanol (BF₃-methanol, Sigma), for fatty acid methylation reaction. Fatty acid methyl esters were analyzed by capillary gas chromatography (Trace GC, Thermo Finnigan Co. Trace GC, Italy) equipped with a 30-m (0.32 mm ID) capillary column (cross-linked polyethylene glycol-TPA phase, Sulpelco) and flame ionized detector. Fatty acid profiles were identified according to the retention time of appropriate standard fatty acid methyl esters. Researchers who participated in the laboratory were blind to the information of coded samples.

2.4. Statistical analysis

Data were analyzed using the Statistical Package for Social Sciences, Version 9.0 (SPSS Inc.). The difference of behavioral activities and the fatty acid profile of rats fed with two groups of diets were compared by independent *t*-test. Pearson's correlation analysis was used to examine the correlations of fatty-acid concentrations and behavioral parameters. The difference was considered statistically significant if a *p*-value was equal to or smaller than 0.05.

3. Results

3.1. Omega-3 fatty acids on behavioral activities in forced-swimming test

As shown in Table 2, there was a significant effect of omega-3 fatty acid diet on immobility time in the forced-swimming test. The immobility time of rats on omega-3 fatty acid diet was significantly shorter than that of rats on control diet. In addition, there were significant differences in the active behavior: both the climbing time and the swimming time were longer in the omega-3 group. We examined the correlations of fatty-acid concentrations and behavioral parameters. The level of brain DHA is negatively correlated to the immobility time ($r = -0.654$, $p = 0.006$) and is positively correlated to the swimming time ($r = 0.69$, $p = 0.003$). There are no significant correlations between all the behavioral parameters with the other omega-3 or omega-6 fatty acids in the brain and all the

Table 2

The effect of omega-3 fatty acid diet on behavioral responses, the level of *n* – 3 and *n* – 6 fatty acid in the brain tissue and the RBC membrane^a

	Control diet group (<i>n</i> = 8)	Omega-3 diet group (<i>n</i> = 8)	<i>p</i> ^b
<i>FST (s)</i>			
Immobility	218 \pm 16	183 \pm 19	0.001 ^b
Swimming	32 \pm 7	45 \pm 9	0.012 ^b
Climbing	50 \pm 10	73 \pm 14	0.011 ^b
<i>Brain PUFA levels^c</i>			
<i>n</i> – 3			
C18:3 (ALA)	1.81 \pm 1.39	2.95 \pm 1.83	0.005 ^b
C20:5 (EPA)	2.94 \pm 3.01	0.78 \pm 1.20	0.115
C22:6 (DHA)	5.49 \pm 1.06	8.26 \pm 2.46	0.001 ^b
<i>n</i> – 6			
C18:2 (LA)	0.44 \pm 0.29	0.45 \pm 0.30	0.958
C18:3	1.27 \pm 0.68	1.15 \pm 0.17	0.933
C20:4 (AA)	3.89 \pm 2.89	5.96 \pm 1.21	0.115
C22:4	6.06 \pm 3.76	7.11 \pm 0.81	0.401
<i>RBC PUFA levels^c</i>			
<i>n</i> – 3			
C18:3 (ALA)	0.06 \pm 0.05	0.08 \pm 0.12	0.751
C20:5 (EPA)	0.66 \pm 1.67	0.84 \pm 0.66	0.035 ^b
C22:6 (DHA)	0.67 \pm 0.68	0.61 \pm 0.62	0.958
<i>n</i> – 6			
C18:2 (LA)	3.00 \pm 1.81	3.05 \pm 1.80	0.529
C18:3	0.23 \pm 0.29	0.21 \pm 0.25	0.562
C20:4 (AA)	3.64 \pm 2.28	3.19 \pm 2.85	0.462
C22:4	1.78 \pm 0.93	1.43 \pm 1.37	0.462

^a The data are presented as the mean \pm SD.

^b Significantly different (for $p \leq 0.05$).

^c It is presented as mg/100 mg of total phospholipids.

omega-3 and omega-6 fatty acids in the erythrocyte membrane.

3.2. Omega-3 fatty acids on erythrocyte and brain polyunsaturated fatty acid levels

As shown in the Table 2, there was a significant effect of the diet on the composition of polyunsaturated fatty acids in the rat's brain. The levels of DHA and ALA in the omega-3 fatty acid group were significantly higher than those in the control group. Surprisingly, EPA was lower in the omega-3 fatty acid group, but the difference did not reach statistical significance. There was no significant difference in other fatty acids between groups. In contrast to results in the brain, the erythrocyte level of EPA in the omega-3 fatty acid group was significantly higher than that in the control group, while there was no significant difference in DHA and ALA between two groups. There was no difference in omega-6 PUFAs in the brain or erythrocyte membrane.

4. Discussion

To the best of our knowledge, this is the first study designed to evaluate the effect of 6 weeks of omega-3 fatty acids on the FST model of depression and on brain and RBC lipid composition. The major finding of this study

is that the dietary supplement of omega-3 fatty acids attenuated the immobility time and increased behaviors of swimming and climbing in the FST. This is consistent with a recent article by Carlezon and his colleagues, which revealed that dietary supplementation with omega-3 fatty acids reduced immobility when given for 30 days, but not for 3 or 10 days (Carlezon, Jr. et al., 2005). However, the lipid profiles of brain and RBC were not reported in their study.

The result of our study supports that omega-3 fatty acids have an effect on the development of depression-like behaviors in rats tested with the FST. A cross-national study has revealed a significant inverse correlation between annual prevalence of major depression and fish consumption (Hibbeln, 1998). Fish and seafood are the major source of omega-3 PUFAs in the human diet, therefore the infrequent consumption of fish could mean a low intake of omega-3 fatty acids, which in turn could contribute to an elevated risk of depression. Further supporting the role of low omega-3 fatty acids in the risk of depression, several studies of patients with depression have reported reduced omega-3 fatty acids in plasma or RBC membranes (Maes et al., 1996, 1999; Adams et al., 1996; Peet et al., 1998; Edwards et al., 1998). Moreover, several clinical trials have been reported to show an antidepressant effect of PUFAs. The EPA monotherapy revealed antidepressant effect in a case of treatment-resistant major depressive disorder (Puri et al., 2001). Significant benefits of omega-3 PUFAs augmentation on antidepressant medications were also demonstrated in three double-blind, placebo-controlled trials (Su et al., 2003a; Nemets et al., 2002; Peet and Horrobin, 2002).

One of the biological mechanisms to explain this result is the regulation of neurotransmitters and signal transduction by PUFAs. The change of fatty acid concentration in the brain, induced by chronic deficiency in dietary omega-3 fatty acid, could alter serotonergic and dopaminergic neurotransmission and then lead to an increase in 5-HT₂ receptors and decrease in D₂ receptors in the frontal cortex (Delion et al., 1997, 1996, 1994). The upregulation of 5-HT_{2A/C} is thought to play a role in the pathophysiology of depression (Maes and Meltzer, 1995). Furthermore, high cerebrospinal fluid concentration of 5-hydroxyindoleacetic acid (5-HIAA), a metabolite of serotonin and an indicator of brain serotonin turnover, has been shown to be positively associated with high plasma concentration of omega-3 PUFAs among healthy subjects (Hibbeln et al., 1998), and lower serotonergic activity has been well established in the pathophysiology of depression (Risch and Nemeroff, 1992b). Biochemical studies have also shown that omega-3 PUFAs could increase CSF 5-HIAA concentration (Nizzo et al., 1978), a finding which is commonly associated with the improvement of depressive symptoms (Risch and Nemeroff, 1992a).

A second hypothesis is that omega-3 PUFAs play an important role in the mechanism of mood stabilization by targeting parts of the “arachidonic acid cascade” (Rapoport and Bosetti, 2002). The “arachidonic acid cas-

cade” hypothesis in mood disorders has been supported by a number of evidences, including the higher levels of AA and increased activity of phospholipase A₂ (PLA₂), a major metabolic enzyme of AA, in patients with mood disorders (Maes et al., 1996, 1999; Chiu et al., 2003; Noponen et al., 1993) and the inhibitory effect on PLA₂ activity of mood stabilizers (Chang et al., 2001; Rintala et al., 1999; Chang and Jones, 1998; Ghelardoni et al., 2004). Furthermore, AA is the major substrate for prostaglandin E₂ (PGE₂), which is important in the development of animal's sickness behavior, a series of behavioral changes that resemble depressive symptoms (Maddock and Pariante, 2001; Song et al., 2003). PGE₂ have also been found to be elevated in the plasma (Lieb et al., 1983), spinal fluid (Linoila et al., 1983), and saliva (Ohishi et al., 1988) of patients with depression. It has been reported that omega-3 PUFA treatment could reduce PGE₂ synthesis (James et al., 2000), attenuating cytokine-induced sickness, stress and anxiety-like behaviors (Song et al., 2004, 2003). Interestingly, by reducing prostaglandin production, PUFAs also have the ability of inhibiting the function of membrane steroid transporters in the brain like the multi-drug resistance p-glycoprotein (MDR PGP) (Murck et al., 2004). Membrane steroid transporters have been identified as fundamental mechanism regulating tissue sensitivity to glucocorticoid hormones. Among these, the MDR PGP localized on the endothelial cells of the blood–brain-barrier (BBB) has a physiological role in the access of glucocorticoids to the brain and in the regulation of HPA axis activity (Pariante et al., 2004). An overactive MDR PGP in depressed patients could reduce the access of glucocorticoids to the brain and hence inducing glucocorticoid resistance, a condition that participates to the pathogenesis of depressive symptoms (Pariante, 2006). Indeed, one of the mechanisms by which antidepressants increase glucocorticoid sensitivity *in vitro* is by inhibiting membrane steroid transporters that expels glucocorticoids out of the cells, and therefore by increasing the intracellular levels of glucocorticoids: an effect that is independent of any neurotransmitter actions (Pariante et al., 2004). Therefore, it is intriguing that PUFAs, similar to other antidepressants, have also been shown to inhibit the function of MDR PGP, by reducing PGE₂ levels (Murck et al., 2004).

DHA is a major structural component of phospholipid in neuronal cell membranes, while EPA is not present in neuronal cell membranes. It has been reported that DHA is more important in brain functioning than EPA (Peet and Stokes, 2005). This is supported in our finding that the level of brain DHA, but not EPA, is negatively correlated to the immobility time and is positively correlated to the swimming time. However, EPA (Nemets et al., 2002; Su et al., 2003a; Peet and Horrobin, 2002), rather than DHA (Marangell et al., 2003), appears to be the effective agent in clinical studies for depression. The contradiction of clinical and basic studies raise questions about different modes of action of DHA and EPA. EPA, but not DHA, has other important physiological functions,

including a role as precursor for eicosanoids and modulator of cytokines (Fenton et al., 2000). It has been proposed that depression is accompanied by the increased secretion of eicosanoids, such as prostaglandins, and by excessive secretion of proinflammatory cytokines (Maes and Smith, 1998). EPA can act as the inhibitor of PLA₂ reduce the secretion of eicosanoids and proinflammatory cytokines (Song et al., 2004, 2003), which might associated with the improvement of loss of interest, fatigue, loss of energy, poor appetite and inability to concentrate, in patients with depression (Capuron and Miller, 2004).

The other important finding of this study is a significant effect of omega-3 fatty acid diet on the levels of brain and RBC polyunsaturated fatty acids. The dietary supplement of omega-3 fatty acids only increased the DHA and ALA, but not EPA in the brain; and increased EPA, but not ALA and DHA, in the peripheral RBC. EPA was lower in the brain in the omega-3 fatty acid group, although the difference did not reach statistical significance. Unfortunately, a limitation of the study was that PUFA levels were assessed after FST; therefore, we cannot clarify whether this discrepancy is due to the experimental diet having different effects on the brain and RBC, or the forced swimming stress having different effects on the brain and RBC. Most studies revealing omega-3 fatty acid deficits in patients with major depressive disorder were designed to assess peripheral tissues only (Maes et al., 1996, 1999; Adams et al., 1996; Peet et al., 1998; Edwards et al., 1998), and therefore it is important to clarify the relationship between brain and RBC omega-3 fatty acid.

In conclusion, our result shows that omega-3 fatty acids have a beneficial effect on preventing the development of depression-like behaviors in rats with the FST. The other limitations are that: (1) there was no active control group, e.g. rats with antidepressant treatment; and (2) this study did not examine the length of time required to produce behavioral changes from dietary exposure. Furthermore, future studies should examine specific regions of the brain rather than the whole brain.

Declaration of interest

None.

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